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				Application Number	10/828,469-Conf. #5223	
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STATEMENT BY APPLICANT				First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
	(Use as many	sheets as n	ecessary)	Examiner Name	J. W. Woo	
heet	1	of	22	Attorney Docket Number	100873-273	

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Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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	AB*	US-4,635,637	01-13-1987	Schreiber		
	AC*	US-5,464,426	11-07-1995	Bonutti		
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	AF*	US-5,855,311	01-05-1999	Hamblin et al.		
	AG*	US-5,887,594	03-30-1999	LoCicero, III		
	AH*	US-6,663,639	12-16-2003	Laufer et al.		
	AI*	US-6,835,200	12-28-2004	Laufer et al.		
	AJ*	US-6,558,400	05-06-2003	Deem et al.		
	AK*	US-20040082963-A1	04-29-2004	Gannoe et al.		
	AL*	US-6,454,778	09-24-2002	Kortenbach		
	AM*	US-6,540,789	04-01-2003	Silverman et al.		
	AN*	US-20040059350-A1	03-25-2004	Gordon et al.		
	AO*	US-4,841,888	06-27-1989	Mills et al.		
	AP*	US-20040006351-A1	01-08-2004	Gannoe et al.		
	AQ*	US-5,080,663	01-14-1992	Mills et al.		
	AR*	US-5,810,855	09-22-1998	Rayburn et al.		
	AS*	US-20030195387-A1	10-16-2003	Kortenbach et al.		

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	BB**	EP-0593920	04-27-1994	United States Surgical Corp		r			
	BC**	EP-0480428	04-15-1992	Wilson Cook Medical Inc		Γ			
	BD**	EP-0576265	12-29-1993	Ethicon Inc		ì			
	BE	EP-9668058	08-23-1995	Novoste Corp		r			
	BF	EP-0743044	11-20-1996	Bard Inc C R		۲			

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				Application Number	10/828,469-Conf. #5223		
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STATEMENT BY APPLICANT				First Named Inventor	Michael D. Laufer		
				Art Unit	3773		
	(Use as man	y sheets as nec	essary)	Examiner Name	J. W. Woo		
Sheet	2	of	22	Attorney Docket Number	100873-273		

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Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	AT*	US-5,433,721	07-18-1995	Hooven et al.		
	AU*	US-6,352,503	03-05-2002	Matsui et al.		
	AV*	US-6,569,085-A1	05-27-2003	Kortenbach et al.		
	AW*	US-6,743,240-A1	06-01-2004	Smith et al.		
	AX*	US-4,809,695	03-07-1989	Gwathmey et al.		
	AY*	US-5,662,683	09-02-1997	Kay		
	AZ*	US-4,741,336	05-03-1988	Failla et al.		
	AA1*	US-20030191478-A1	10-09-2003	Kortenbach et al.		
	AB1*	US-20020138086-A1	09-26-2002	Sixto et al.		
	AC1*	US-20020198541-A1	12-26-2002	Smith et al.		
	AD1*	US-5,037,021	08-06-1991	Mills et al.		
	AE1*	US-5,571,116	11-05-1996	Bolanos et al.		
	AF1*	US-5,897,562	04-27-1999	Bolanos et al.		
	AG1*	US-6,113,609	09-05-2000	Adams		
	AH1*	US-5,571,090	11-05-1996	Sherts		
	AI1*	US-6,221,084	04-24-2001	Fleenor		
	AJ1*	US-20030167062	09-04-2003	Gambale et al.		
	AK1*	US-6,179,840	01-30-2001	Bowman		
	AL1*	US-6,945,979	09-20-2005	Kortenbach et al.		

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	BG	EP-0975263	02-02-2000	Bard Inc C R et al.		-			
	BH**	FR-2768324	03-19-1999	Seguin Jacques		r			
	BI	GB-2075829	11-25-1981	Watson & Ewen Ltd		Г			
	BJ	JP-61122852	06-10-1986	Univ Karetsuji Rondon		Г			
	BK**	WO-8911827	12-14-1989	Nierman David M		Г			
	BL	WO-9900059	01-07-1999	Univ Columbia et al.		Г			

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## STATEMENT BY APPLICANT First Named Inventor Michael D. Laufer Art Unit 3773 (Use as many sheets as necessary) Examiner Name J. W. Woo

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
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	AN1*	US-6,824,548	11-30-2004	Smith et al.	
	AO1*	US-6,716,226	04-06-2004	Sixto, Jr. et al.	
	AP1*	US-20020078967	06-27-2002	Sixto et al.	
	AQ1*	US-20020068945	06-06-2002	Sixto et al.	
	AR1*	US-4,649,938	03-17-1987	McArthur	
	AS1*	US-5,324,325	06-28-1994	Moaddeb	
	AT1*	US-20010049537	12-06-2001	Kortenbach	
	AU1*	US-6,086,603	07-11-2000	Termin et al.	
	AV1*	US-3,551,987	01-05-1971		
	AW1*	US-4,500,024	02-19-1985	DiGiovanni et al.	
	AX1*	US-5,558,665	09-24-1996	Kieturakis	
	AY1*	US-5,826,776	10-27-1998	Schulze et al.	
	AZ1*	US-5,901,895	05-11-1999	Heaton et al.	
	AA2*	US-7,066,944	06-27-2006	Laufer et al.	
	AB2*	US-5,088,979	02-18-1992	Filipi et al.	
	AC2*	US-5,465,894	11-14-1995	Clark et al.	
	AD2*	US-5,787,897	08-04-1998	Kieturakis	
	AE2*	US-6,086,600	07-11-2000	Kortenbach	

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	No.1	Country Code <sup>5</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (# known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Pesseges Or Relevant Figures Appear	T°			
	BM**	WO-9922649	05-14-1999	Symbiosis Corp et al.		Г			
	BN**	WO-9960931	12-02-1999	Boston Scient Ltd		г			
	BO**	WO-0035529	06-22-2000	Syntheon Llc		Г			
	BP**	WO-0078227	12-28-2000	Ndo Surgical Inc et al.		П			
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	BR	WO-01085034	11-15-2001	Scimed Life Systems Inc et al.		П			

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	AG2*	US-1,343,289	06-15-1920	Suchy		
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	AN2*	US-3,638,653	02-01-1972	Berry		
	AO2*	US-3,734,375	05-22-1973	Bone et al.		
	AP2*	US-3,749,085	07-31-1973	Willson et al.		
	AQ2*	US-3,842,840	10-22-1974	Schweizer		
	AR2*	US-3,875,648	04-08-1975	Bone		
	AS2*	US-3,900,925	08-26-1975	La Torraca		
-	AT2*	US-3,901,244	08-26-1975	Schweizer		
	AU2*	US-3,933,291	01-20-1976	Stephenson		
	AV2*	US-3,946,740	03-30-1976	Bassett		
	AW2*	US-4,006,747	02-08-1977	Kronenthal et al.		
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	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (# known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevent Passages Or Relevent Figures Appear	۲		
	BS**	WO-0224080	03-28-2002	Scimed Life Systems Inc et al.		г		
	BT	WO-0228289	04-11-2002	Scimed Life Systems Inc		Г		
	BU**	WO-0240081	05-23-2002	Syntheon Llc et al.		Г		
	BV**	WO-0245603	06-13-2002	Syntheon Llc		Г		
	BW	WO-0276541	10-03-2002	Curon Medical Inc		Г		
	BX**	WO-0294341	11-28-2002	Syntheon Llc				

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S	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
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Sheet	5	of	22	Attorney Docket Number	100873-273	

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	AZ2*	US-4,448,194	05-15-1984	DiGiovanni et al.		
	AA3*	US-4,471,781	09-18-1984	Di Giovanni et al.		
	AB3*	US-4,506,670	03-26-1985	Crossley		
	AC3*	US-4,573,469	03-04-1986	Golden et al.		
	AD3*	US-4,585,153	04-29-1986	Failla et al.		
	AE3*	US-4,591,085	05-27-1986	Di Giovanni		
	AF3*	US-4,605,004	08-12-1986	Di Giovanni et al.		
	AG3*	US-4,606,345	08-19-1986	Dorband et al.		
	AH3*	US-4,627,437	12-09-1986	Bedi et al.		
	Al3*	US-4,653,496	03-31-1987	Bundy et al.		
	AJ3*	US-4,696,300	09-29-1987	Anderson		
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	BY**	WO-03000115	01-03-2003	Syntheon Lic		Г			
	BZ**	WO-03004087	01-16-2003	Syntheon Lic		Г			
	BA1**	WO-03007796	01-30-2003	Ndo Surgical Inc et al.		Г			
	BB1**	WO-03015604	02-27-2003	Syntheon Llc et al.		Г			
	BC1	WO-03030782	04-17-2003	Scimed Life Systems Inc et al.		Г			
	BD1	WO-03035649	05-01-2003	Pfizer Pharma et al.		Г			

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S	TATEMENT I	BY /	APPLICANT	First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
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Sheet	6	of	22	Attorney Docket Number	100873-273	

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Initials*	No.1	Number-Kind Coda <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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	AS3*	US-5,037,433	08-06-1991	Wilk et al.		
	AT3*	US-5,147,373	09-15-1992	Ferzli		
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	AW3*	US-5,254,126	10-19-1993	Filipi et al.		
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	AY3*	US-5,331,969	07-26-1994	Silberstein		
	AZ3*	US-5,336,263	08-09-1994	Ersek et al.		
	AA4*	US-5,346,504	09-13-1994	Ortiz et al.		
	AB4*	US-5,354,311	10-11-1994	Kambin et al.		
	AC4*	US-5,356,416	10-18-1994	Chu et al.		
	AD4*	US-5,358,508	10-25-1994	Cobb et al.		
	AE4*	US-5,364,408	11-15-1994	Gordon		
	AF4*	US-5,383,260	01-24-1995	Deschenes et al.		
	AG4*	US-5,441,507	08-15-1995	Wilk		
	AH4*	US-5,447,512	09-05-1995	Wilson et al.		
	Al4*	US-5,451,406	09-19-1995	Lawin et al.		
	AJ4*	US-5,486,189	01-23-1996	Mudry et al.		

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Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document		۳٥				
	BE1	WO-03037256	05-08-2003	Young Charles W		-				
	BF1	WO-03053253	07-03-2003	Rex Medical Lp						
	BG1	WO-03072196	09-04-2003	Carbon Medical Technologies In		Г				
	BH1**	WO-030804	10-09-2003	Scimed Life Systems Inc et al.		$\vdash$				
-	BI1**	WO-03090633	11-06-2003	Scimed Life Systems Inc						
	BJ1**	WO-03092498	11-13-2003	Medigus Ltd et al.						

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(Use as many sheets as necessary)				Examiner Name	J. W. Woo		
Sheet	7	of	22	Attorney Docket Number	100873-273		

			U.S. PA	TENT DOCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	AK4*	US-5,496,331	03-05-1996	Xu et al.		
	AL4*	US-5,522,820	06-04-1996	Caspari et al.		
	AM4*	US-5,549,618	08-27-1996	Fleenor et al.		
	AN4*	US-5,573,496	11-12-1996	McPherson et al.		
	AO4*	US-5,624,453		Ahmed		
	AP4*	US-5,626,613	05-06-1997	Schmieding		
	AQ4*	US-5,645,552	07-08-1997	Sherts		
	AR4*	US-5,665,096	09-09-1997	Yoon		
	AS4*	US-5,671,507	09-30-1997	Deschenes et al.		
	AT4*	US-5,674,230	10-07-1997	Tovey et al.		
	AU4*	US-5,676,674	10-14-1997	Bolanos et al.		
	AV4*	US-5,697,940	12-16-1997	Chu et al.		
	AW4*	US-5,699,808	12-23-1997	John		
	AX4*	US-5,722,421	03-03-1998	Francese et al.		
	AY4*	US-5,725,524	03-10-1998	Mulier et al.		
	AZ4*	US-5,728,109		Schulze et al.		
	AA5*	US-5,735,861	04-07-1998	Peifer et al.		
	AB5*	US-5,741,280	04-21-1998	Fleenor		
	AC5*	US-5,749,898	05-12-1998	Schulze et al.		

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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	Γ
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (# known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevent Figures Appear	T
	BK1**	WO-03092509	11-13-2003	Scimed Life Systems Inc		Г
	BL1**	WO-03094800	11-20-2003	Scimed Life Systems Inc		r
	BM1**	WO-03098885	11-27-2003	Nippon Electric Co et al.		r
	BN1**	WO-03099137	12-04-2003	Ndo Surgical Inc et al.		r
	BO1**	WO-03099139	12-04-2003	ld Llc		r
	BP1**	WO-03099140	12-04-2003	Esophyx Inc et al.		r

Examiner	Date
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"EXAMINE: Intel I reference consistent, whether or not classin is nonformers with MEPE 90. Date the through classin if not in continuous and not consistent classical copy of this form with near communication or explorate." CERT 1.88(02)(30)) because the application was filted after June 30, 2030 or is evoletie in the CERT 1.88(02)(30)) because the application was filted after June 30, 2030 or is evoletie in the TVI. Applicant variety classical exploration number (potential). "See Netton Cooks of USPTO Paters commented argumunication or exploration number (potential)." See Netton Cooks of USPTO Paters commented argumunication or exploration number (potential). "See Netton Cooks of USPTO Paters commented argumunication or exploration or exploration

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cond to a collection of information unlass it contains a valid OMB control number.

Sub	stitute for form 1449/PT	0		Complete if Known		
				Application Number	10/828,469-Conf. #5223	
- IN	IFORMATIC	N DIS	CLOSURE	Filing Date	April 21, 2004	
S	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
	(Use as many sheets as necessary)			Examiner Name	J. W. Woo	
Sheet	8	of	22	Attomey Docket Number	100873-273	

			U.S. PA	TENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AD5*	US-5,788,138	08-04-1998	Deschenes et al.	
	AE5*	US-5,792,153	08-11-1998	Swain et al.	
	AF5*	US-5,792,478	08-11-1998	Lawin et al.	
	AG5*	US-5,794,948	08-18-1998	Schmitt et al.	
	AH5*	US-5,797,927	08-25-1998	Yoon	
	AI5*	US-5,810,882	09-22-1998	Bolduc et al.	
	AJ5*	US-5,814,054	09-29-1998	Kortenbach et al.	
	AK5*	US-5,846,254	12-08-1998	Schulze et al.	
	AL5*	US-5,853,409	12-29-1998	Swanson et al.	
	AM5*	US-5,893,592	04-13-1999	Schulze et al.	
	AN5*	US-5,899,915	05-04-1999	Saadat	
	AO5*	US-5,958,444	09-28-1999	Wallace et al.	
	AP5*	US-5,984,932	11-16-1999	Yoon	
	AQ5*	US-5,993,466	11-30-1999	Yoon	
	AR5*	US-6,009,877	01-04-2000	Edwards	
	AS5*	US-6,051,003	04-18-2000	Chu et al.	
	AT5*	US-6,059,798	05-09-2000	Tolkoff	
	AU5*	US-6,067,990	05-30-2000	Kieturakis	
	AV5*	US-6,083,202	07-04-2000	Smith	

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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	Γ
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (F known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevent Figures Appear	۲
	BQ1**	WO-03099376	12-04-2003	Transneuronix Inc et al.		1
	BR1**	WO-03105917	12-24-2003	Scimed Life Systems Inc		Г
	BS1	WO-04004542	01-15-2004	Satiety Inc et al.		1
	BT1	WO-04004544	01-15-2004	Foundry Inc		V
	BU1	WO-04006990	01-22-2004	Scimed Life Systems Inc		7
	BV1	WO-04019787	03-11-2004	Scimed Life Systems Inc		V

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"EXAMINE: Intel® i reference consistend, whether or not clation is no conformance with METE 900. Date his through clation if not in conformance and not consistend. Include copy of this from which need communication to applicant, "CIEI No. Those application with an entrange started, with a single started, with a range started, with a first part of the CIEI No. Those application exists (existend with a range started, which is not to the CIEI No. Those application exists (existend with a range started, with a range started, or the communication of the

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Sul	ostitute for form 1449/PTO			Complete if Known		
				Application Number	10/828,469-Conf. #5223	
11	NFORMATION	N DI	SCLOSURE	Filing Date	April 21, 2004	
S	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
	(Use as many sh	eets a:	necessary)	Examiner Name	J. W. Woo	
Sheet	9	of	22	Attorney Docket Number	100873-273	

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AW5*	US-6,098,629	08-08-2000	Johnson et al.	
	AX5*	US-6,129,761	10-10-2000	Hubbell	
	AY5*	US-6,152,935	11-28-2000	Kammerer et al.	
	AZ5*	US-6,238,335	05-29-2001	Silverman et al.	
	AA6*	US-6,254,642	07-03-2001	Taylor	
	AB6*	US-6,258,064	07-10-2001	Smith et al.	
	AC6*	US-6,267,285	07-31-2001	Raymond et al.	
	AD6*	US-6,325,503	12-04-2001	McCue, Jr. et al.	
	AE6*	US-6,387,104	05-14-2002	Pugsley, Jr. et al.	
	AF6*	US-6,478,210	11-12-2002	Adams et al.	
	AG6*	US-6,551,315	04-22-2003	Kortenbach et al.	
	AH6*	US-6,544,291	04-08-2003	Taylor	
	AI6*	US-6,544,503	04-08-2003	Vanderhoff et al.	
	AJ6*	US-6,547,776	04-15-2003	Gaiser et al.	
	AK6*	US-6,548,501	04-15-2003	Hakkinen	
	AL6*	US-6,548,518	04-15-2003	Rubin et al.	
	AM6*	US-6,551,328	04-22-2003	Kortenbach	
	AN6*	US-6,552,045	04-22-2003	Rubin et al.	
	AO6*	US-6,552,046	04-22-2003	Druzgala et al.	

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Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if  known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Peges, Columns, Lines, Where Relevent Passages Or Relevent Figures Appear	7				
	BW1	WO-04019788	03-11-2004	Scimed Life Systems Inc	1	7				
	BX1	WO-04021872	03-18-2004	Bard Inc C R		7				
	BY1	WO-04021873	03-18-2004	Bard Inc C R		7				
	BZ1	WO-04021894	03-18-2004	Kelleher Brian		7				
	BA2	WO-04026348	04-01-2004	Sixto Robert Jr et al.		7				
	BB2	WO-04026349	04-01-2004	Smith Kevin W et al.		Ħ				

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\*EXAMINER; Initial inference consistent, whether or not classic is a conformance with MEED 030. Once the financy classics if no consistent conscious consistent in the conformation of papers. "CERT 189(02)(20) whether with matter of the conformation of papers in the CERT 189(02)(20) whether with matter of the conformation control papers (under 37 CERT 189(02)(20)) because that application was filled either June 30, 2003 or is evaluate in the EFV. "Applicant unless of conformation number (portional." See Kindon Code of UEPTO Please (Documents at anywards) anger of MEPED 1810. "Enter Offices the Meet 1810 of the CERT 1810 of the Section CERT 1810 of the CERT 1810

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				Application Number	10/828,469-Conf. #5223	
- IN	IFORMATIC	ON DIS	SCLOSURE	Filing Date	April 21, 2004	
S	TATEMENT	BY A	PPLICANT	First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
	(Use as many sheets as necessary)			Examiner Name	J. W. Woo	
Sheet	10	of	22	Attomey Docket Number	100873-273	

			U.S. PA	TENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
	AP6*	US-6,552,047	04-22-2003	Garvey et al.	
	AQ6*	US-6,558,429	05-06-2003	Taylor	
	AR6*	US-6,559,165	05-06-2003	Rubin et al.	
	AS6*	US-6,562,034	05-13-2003	Edwards et al.	
	AT6*	US-6,562,795	05-13-2003	Ashlev et al.	
	AU6*	US-6,569,105	05-27-2003	Kortenbach et al.	
	AV6*	US-6,579,301	06-17-2003	Bales et al.	
	AW6*	US-6,589,238	07-08-2003	Edwards et al.	
	AX6*	US-6,591,137	07-08-2003	Fischell et al.	
	AY6*	US-6,591,838	07-15-2003	Durgin	
	AZ6*	US-6,592,596	07-15-2003	Geitz	
	AA7*	US-6,592,609	07-15-2003	Bonutti	
	AB7*	US-6,595,909	07-22-2003	Silverman et al.	
	AC7*	US-6,595,910	07-22-2003	Silverman et al.	
	AD7*	US-6,604,004	08-05-2003	Zelickson et al.	
	AE7*	US-6,604,528	08-12-2003	Duncan	
	AF7*	US-6,605,078	08-12-2003	Adams	
	AG7*	US-6,613,047	09-02-2003	Edwards	
	AH7*	US-6.632.227		Adams	

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Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	Г
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (# known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevent Figures Appear	T
	BC1**	EP-0646356	04-05-1995	Ethicon Inc		
	BC2	WO-04026350	04-01-2004	Kortenbach Juergen A et al.		1
	BD2**	WO-05086885	09-22-2005	Laufer Michael D et al.		
	BE2	WO-9803151	01-29-1998	Einhorn Apotheke et al.		_
	BF2	JP-1151461	06-14-1989	Koransha Kk		
	BG2	WO-02094341	11-28-2002	Syntheon Llc		Г
	BH2**	WO-04000129	12-31-2003	Scimed Life Systems Inc et al.		

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				Application Number	10/828,469-Conf. #5223	
11	FORMATIC	N DIS	CLOSURE	Filing Date	April 21, 2004	
S	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
				Examiner Name	J. W. Woo	
Sheet 11 of 22		Attorney Docket Number	100873-273			

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AI7*	US-6,645,201	11-11-2003	Utley et al.	
	AJ7*	US-6.660.301	12-09-2003	Vogel et al.	
	AK7*	US-6,666,848	12-23-2003	Stone	
	AL7*	US-6,669,713	12-30-2003	Adams	
	AM7*	US-6,673,058	01-06-2004	Snow	
	AN7*	US-6,673,070	01-06-2004	Edwards et al.	
	A07*	US-6,692,507	02-17-2004	Pugsley et al.	
	AP7*	US-6,695,764	02-24-2004	Silverman et al.	
	AQ7*	US-6,699,243	03-02-2004	West et al.	
	AR7*	US-6,712,074	03-30-2004	Edwards et al.	
	AS7*	US-6,712,814	03-30-2004	Edwards et al.	
_	AT7*	US-6,725,866	04-27-2004	Johnson et al.	
	AU7*	US-6,790,214	09-14-2004	Kraemer et al.	
	AV7*	US-6,790,237		Stinson	
	AW7*	US-6,808,491	10-26-2004	Kortenbach et al.	
	AX7*	US-6,916,332	07-12-2005	Adams	
	AY7*	US-6,926,722	08-09-2005	Geitz	
	AZ7*	US-6,971,395	12-06-2005	Edwards et al.	
	AA8*	US-6,986,737	01-17-2006	Suzuki et al.	1

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Cia	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	Г
No.1	Country Code <sup>9</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (# known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	70
BI2	WO-02076541	10-03-2002	Curon Medical Inc		
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		Cite Foreign Patent Document  Country Code* -Number*-Kind Code* (# known)	Cite No. <sup>1</sup> Country Code <sup>2</sup> -Number <sup>2</sup> -Kind Code <sup>8</sup> (# known) Publication Date MM-DD-YYYY	Cite Date Name of Patentee or Applicant of Cited Document	Cite Foreign Patient Document

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\*EXAMINE: Initial Inflations consistent, whether or not classin is no conformance with MEPE 900. Once the through distant in fine in conformance and not consistent classical copy of the form with not communication to perplacet. "CER 100,000 to the CER 100,000 which we may always the man great seather than 100,000 or a waitable in the DEN. Application to the CER 100,000 to

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				Application Number	10/828,469-Conf. #5223	
11	NFORMATIO	N DI	SCLOSURE	Filing Date	April 21, 2004	
S	TATEMENT	BY A	APPLICANT	First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
	(Use as many :	heets as	necessary)	Examiner Name	J. W. Woo	
Sheet	12	of	22	Attorney Docket Number	100873-273	

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AB8*	US-7,033,370	04-25-2006	Gordon et al.	
	AC8*	US-7,087,073	08-08-2006	Bonutti	
	AD8*	US-20010056282	12-27-2001	Sonnenschein et al.	
	AE8*	US-20020010418	01-24-2002	Lary et al.	
	AF8*	US-20020063143	05-30-2002	Adams et al.	
	AG8*	US-20020082621	06-27-2002	Schurr et al.	
	AH8*	US-20020173786	11-21-2002	Kortenbach et al.	
	AI8*	US-20020193816	12-19-2002	Laufer et al.	
	AJ8*	US-20020198537	12-26-2002	Smith et al.	
	AK8*	US-20020198538	12-26-2002	Kortenbach et al.	
	AL8*	US-20020198539	12-26-2002	Sixto et al.	
	AM8*	US-20020198540	12-26-2002	Smith et al.	
	AN8*	US-20020198549	12-26-2002	Sixto et al.	
	AO8*	US-20030019905	01-30-2003	Adams et al.	
	AP8*	US-20030036679	02-20-2003	Kortenbach et al.	
	AQ8*	US-20030065340	04-03-2003	Geitz	
	AR8*	US-20030065359	04-03-2003	Weller et al.	
	AS8*	US-20030068326	04-10-2003	Gevas et al.	
	AT8*	US-20030069280	04-10-2003	Koch et al.	

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Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Peges, Columns, Lines, Where Relevant Pesseges Or Relevent Figures Appear	т		
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\*EXAMINER: Initial Indexense consistent, whether or not detain in a conformance with MEPD 900. Dues for a through initiation final in conformance and not considered. Include copy of this form when communication applicate. \*CERT IN.O.\* These applications when the major search part to the CIR No. see not supplied (under 37 CFR 1.98(s))(in)) because that application was filted after June 30, 2003 or a evaleble in the FM. \*Applicant unquies clinical insignation number (positions). \*See filterial Code of USPTO Fease (Document as your yoursepancy or MEPD 9010). \*Filter Offices the FM. \*Applicant unquies (clinical insignation number (positions). \*See filterial Code of USPTO Fease (Document as yoursepancy or MEPD 9010). \*Filter Offices the FM. \*Applicant unquies (clinical insignation number of the patient document. \*Kind of document by the appropriate symbols a indicated on the document under WIPO Standard ST.18 if position. \*Applicant in paties of the Applicant in paties of the Applicant in paties of the Applicant in paties and the Applicant in the

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INFORMATION DISCLOSURE Filing Date April 21, 2004	
STATEMENT BY APPLICANT First Named Inventor Michael D. Laufer	
Art Unit 3773	
(Use as many sheets as necessary)  Examiner Name  J. W. Woo	
Sheet 13 of 22 Attorney Docket Number 100873-273	

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Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AU8*	US-20030069646	04-10-2003	Stinson	
	AV8*	US-20030083241	05-01-2003	Young	
	AW8*	US-20030086968	05-08-2003	Gray	
	AX8*	US-20030092699	05-15-2003	Uchida et al.	
	AY8*	US-20030130560	07-10-2003	Suzuki et al.	
	AZ8*	US-20030130561	07-10-2003	Suzuki et al.	
	AA9*	US-20030135206	07-17-2003	Edwards et al.	
	AB9*	US-20030161887	08-28-2003	Klein	
	AC9*	US-20030163029	08-28-2003	Sonnenschein et al.	
	AD9*	US-20030171645	09-11-2003	Silverman et al.	
	AE9*	US-20030181929	09-25-2003	Geitz	
	AF9*	US-20030188755	10-09-2003	Milbocker	
	AG9*	US-20030192558	10-16-2003	Durgin	
	AH9*	US-20030192559	10-16-2003	Durgin	
	AI9*	US-20030195509	10-16-2003	Edwards et al.	
	AJ9*	US-20030196670	10-23-2003	Durgin	
	AK9*	US-20030199731	10-23-2003	Silverman et al.	
	AL9*	US-20030208209	11-06-2003	Gambale et al.	
	AM9*	US-20030208211	11-06-2003	Kortenbach	

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Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevent Figures Appear	
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Substitu	te for form 1449/PTC				Complete if Known
				Application Number	10/828,469-Conf. #5223
INF	ORMATIO	N DI	SCLOSURE	Filing Date	April 21, 2004
ST	ATEMENT	PRMATION DISCLOSURE TEMENT BY APPLICANT (Use as many sheets as necessary)	PPLICANT	First Named Inventor	Michael D. Laufer
				Art Unit	3773
	(Use as many s	heets as	necessary)	Examiner Name	J. W. Woo
Sheet	14	of	22	Attomey Docket Number	100873-273

			U.S. PA	TENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AN9*	US-20030216754	11-20-2003	Kraemer et al.	
	AO9*	US-20030220657	11-27-2003	Adams	
	AP9*	US-20030220660	11-27-2003	Kortenbach et al.	
	AQ9*	US-20030236535	12-25-2003	Onuki et al.	
	AR9*	US-20030236536	12-25-2003	Grigoryants et al.	
	AS9*	US-20040006336	01-08-2004	Swanson	
	AT9*	US-20040010245	01-15-2004	Cerier et al.	
	AU9*	US-20040037887	02-26-2004	Bourne et al.	
	AV9*	US-20040044364	03-04-2004	DeVries et al.	
	AW9*	US-20040082859	04-29-2004	Schaer	
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	AFA*	US-6,846,309-A1	01-25-2005	Whitman et al.	

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S	Substitute for form 1448PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)  heet 15 of 22	APPLICANT	First Named Inventor	Michael D. Laufer			
			Art Unit	3773			
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Sheet	15	of	22	Attorney Docket Number	100873-273		

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	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
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	AHA*	US-6,849,071	02-01-2005	Whitman et al.	
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	ASA*	US-5,485,952		Fontayne	
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Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>9</sup> -Number <sup>4</sup> -Kind Code <sup>8</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Peges, Columns, Lines, Where Relevant Passages Or Relevent Figures Appear	7	
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s	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer		
				Art Unit	3773		
L	(Use as many sheets as necessary)			Examiner Name	J. W. Woo		
Sheet	16	of	22	Attorney Docket Number	100873-273		

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Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
	CA	European Search Report dated September 2, 2004 in EP 04076389	Г
	CB**	International Search Report dated October 16, 2000	П
	CC**	International Search Report dated October 22, 2003	
	CD**	Boerema MD 'Hiatus Hernia: Repair by right-sided, subhepatic, anterior gastropexy' Surgery, 65:884-893 (1969)	
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	CF**	DeMeester, MD et al 'Nissen Fundoplication for Gastroesophageal Reflux Disease' Annals of Surgery 204:9-20 (1986)	
	CG**	Hill et al 'Surgery for Peptic Esophageal Stricture' 139-147.	
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				Application Number	10/828,469-Conf. #5223	
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S	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
	(Use as many sh	eets as	necessary)	Examiner Name	J. W. Woo	
Sheet	17	of	22	Attorney Docket Number	100873-273	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached,

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		NON PATENT LITERATURE DOCUMENTS	
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	10/828,469-Conf. #5223	
11	NFORMATION	I DI	SCLOSURE	Filing Date	April 21, 2004	
S	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
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Sheet	19	of	22	Attorney Docket Number	100873-273	

		NON PATENT LITERATURE DOCUMENTS	
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	CJ1**	Hill LD, Myths of the esophagus, J. Thorac Cardiovasc. Surg. 1989 Jul. 9S(1):1-10.	
	CK1**	Hill, et al., "The Esophagus. Medical and Surgical Management," WB Saunders Co., 135-8 (1988).	
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	CN1**	Jamieson, et al., "Laparoscopic Nissen Fundoplication," <u>Annals of Surgery</u> , 220:137-145 (1994).	
	CO1	Eurpoean Search Report mailed July 10, 2007 in EP Application No. 07075291	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MIPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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S	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
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		NON PATENT LITERATURE DOCUMENTS	
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	CO1**	Jamieson, et al., "The development of surgery for gastro-oesophageal reflux disease."  Surgery of the Oesophagus, 233-245 (1988).	
	CP1**	Janssen, et al., "Prospective randomized comparison of teres cardiopexy and Nissen fundoplication in the surgical therapy of gastro-oesophageal reflux disease." <u>Br. J. Surg.</u> , 80:875-878 (1993).	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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11	NFORMATIO	N DIS	SCLOSURE	Filing Date	April 21, 2004	
s	STATEMENT BY APPLICANT			First Named Inventor	Michael D. Laufer	
				Art Unit	3773	
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Sheet	21	of	22	Attorney Docket Number	100873-273	

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Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CY1**	Pedinielli, "Traitement Chirurgical de la Herinie Hiatale Par La Technique du Collet," <u>Ann.</u> <u>Chir.</u> , 18:1461-1474 (1964). (English Abstract)	Г
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Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/828,469-Conf. #5223
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	April 21, 2004
				First Named Inventor	Michael D. Laufer
				Art Unit	3773
	(Use as many	sheets as	necessary)	Examiner Name	J. W. Woo
Sheet	22	of	22	Attorney Docket Number	100873-273

Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CI2**	Wang, et al., "A new anti-flux procedure: cardiac oblique invagination," Chung Hua Wai Ko Tsa Chih, Feb. 33 (2) 73-5 (1995). (English Abstract).	
CJ2**	Watson, et al., "Comparison of anterior, posterior and total fundoplication using a viscera model," Diseases of the Esophagus, 10:110-114 (1997).	
CK2**	Westbrook, et al., "Posterior Surgical Approaches to the Rectum," Annals of Surgery, 195:677-691 (1982).	
CL2	Eurpoean Search Report mailed July 10, 2007 in EP Application No. 07075291	$\overline{}$
CM2	02/20/09, Office Action for USSN 10/819,957	
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	No.1 CI2** CJ2** CK2**	the item (took, magazine, journat, serial, symposiam, catalog, etc.), date, page(s), volume-issue number(s), publisher, day and/or county-where published.  [12** Wang, et al., "A new anti-flux procedure: cardiac oblique invagination," Chung Hua Wai Ko Tsa, Chih, Feb. 33 (2) 75-5 (1995). (English Abstract).  [24** Watson, et al., "Comparison of anterior, posterior and total fundoplication using a viscera model," Diseases of the Esophagus. 10:110-114 (1997).  [26** Westbrook, et al., "Posterior Surgical Approaches to the Rectum," Annals of Surgery, 195:677-691 (1982).

Examiner	Date
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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